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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. 03797.81487)

In re Application of:

Rubin et al.

Serial No.: 09/457,109

Filed: December 7, 1999

For: Computer User Interface Architecture  
Wherein Both Content And User Interface  
Are Composed Of Documents With Links



Group Art Unit: 2776

Examiner: Unassigned

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

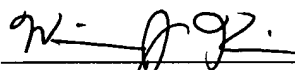
Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Applicants received a Notice to File Missing Parts of Application dated January 11, 2000, stating that the oath or declaration is unsigned. In response, a copy of the Notice to File Missing Parts of Application is enclosed, together with an executed Joint Declaration and Power of Attorney, and a check in the amount of \$130.00.

Respectfully submitted,

**Banner & Witcoff, Ltd.**

Dated: March 22, 2000

By:   
William J. Klein, Reg. No. 43,719  
Banner & Witcoff, Ltd.  
10 South Wacker Drive, Suite 3000  
Chicago, Illinois 60606  
Phone: (312) 715-1000  
Facsimile: (312) 715-1234

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**TRANSMITTAL LETTER**

In regard to the above identified application:

1. We are transmitting herewith the attached Response to Notice to File Missing Parts of Application, executed Joint Declaration for Patent Application, copy of the Notice to File Missing Parts of Application, Petition for Extension of Time, and return postcard.
2. With respect to additional fees: A check in the amount of \$240.00 is enclosed.
3. Please charge any additional fees or credit overpayment to Deposit Account No. 19-0733. A duplicate copy of this sheet is enclosed.
4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on March 22, 2000.

By

A handwritten signature in dark ink, appearing to read "W. J. Klein".

William J. Klein, Reg. No. 43,719  
Banner & Witcoff, Ltd.  
10 South Wacker Drive, Suite 3000  
Chicago, Illinois 60606  
Phone: (312) 715-1000  
Facsimile: (312) 715-1234



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

PIB/TCM

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/457,109 12/07/99 RUBIN

D 03797.81487

0232/0111

PAMELA I BANNER  
BANNER & WITCOFF  
1001 G STREET NW  
SUITE 1100  
WASHINGTON DC 20001-4597

NOT ASSIGNED

DATE MAILED:

01/11/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

03/29/2000 TTRAH1 00000029 09457109 130.00 OP 01 00:105

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Notice to file missing parts due 11 MAR 2000